



Attorney's Docket No. H0535/7009(ERG)

Applicant or Patentee:
Serial or Patent No:
Filed or Issued:
For:

Howard Green et al.
09/620,783
July 21, 2000

LINKAGE OF AGENTS USING MICROPARTICLES

**DECLARATION CLAIMING SMALL ENTITY STATUS
(INDEPENDENT INVENTOR)**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **LINKAGE OF AGENTS USING MICROPARTICLES** described in

- ☐ the specification filed herewith
☒ application serial no. **09/620,783** filed **July 21, 2000**
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME
ADDRESS
☒ INDIVIDUAL

George D. Corey
65 Harding Street
Newton, MA 02165

Bruce J. Compton
30 Cottage Street
Lexington, MA 02173

Philippe Djan
170 rue de la Convention
75015, Paris, FRANCE



[X] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

**Pericor Science, Inc.
One Kendall Square
Building 600, PMB 299
Cambridge, MA 02139**

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

**Howard Green
82 Williston Street
Brookline, MA 02146**

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE



Attorney's Docket No. H0535/7012(ERG)

Applicant or Patentee: **Howard Green et al.**
Serial or Patent No: **09/620,783**
Filed or Issued: **July 21, 2000**
For: **LINKAGE OF AGENTS USING MICROPARTICLES**

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Bruce J. Compton
30 Cottage Street
Lexington, MA 02173

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE



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Applicant or Patentee: **Howard Green et al.**
Serial or Patent No: **09/620,783**
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Philippe Djian
170 rue de la Convention
75015, Paris, FRANCE

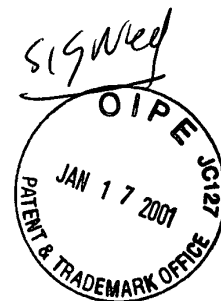
NAME OF INVENTOR

Philippe Djian

SIGNATURE OF INVENTOR

Sept 16, 2000

DATE



Attorney's Docket No. H0535/7012(ERG)

Applicant or Patentee: Howard Green et al.
Serial or Patent No: 09/620,783
Filed or Issued: July 21, 2000
For: LINKAGE OF AGENTS USING MICROPARTICLES

**DECLARATION CLAIMING SMALL ENTITY STATUS
(INDEPENDENT INVENTOR)**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **LINKAGE OF AGENTS USING MICROPARTICLES** described in

- ☐ the specification filed herewith
☒ application serial no. 09/620,783 filed July 21, 2000
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
☒ persons, concerns or organizations listed below*

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Philippe Djian
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Cambridge, MA 02139

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George D. Corey
65 Harding Street
Newton, MA 02165

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE



Attorney's Docket No. H0535/7012(ERG/MAT)

Applicant or Patentee: **Howard Green et al.,**
Serial or Patent No: **09/620,783**
Filed or Issued: **July 21, 2000**
For: **LINKAGE OF AGENTS USING MICROPARTICLES**

**DECLARATION CLAIMING SMALL ENTITY STATUS
(SMALL BUSINESS CONCERN)**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **PERICOR SCIENCE, INC.**
ADDRESS OF CONCERN: **One Kendall Square
Building 600, PMB 299
Cambridge, MA 02139**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **LINKAGE OF AGENTS USING MICROPARTICLES** by inventor(s), **Howard Green et al.**, described in

- ☐ the specification filed herewith
☒ application serial no. **09/620,783**, filed **July 21, 2000**
☐ patent no. _____, issued _____.

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention is listed below* and no rights to

the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

☐ no such person, concern, or organization
☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME

ADDRESS

☒ INDIVIDUAL
ORGANIZATION

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT

Howard Green
82 Williston Street
Brookline, MA 02146

George D. Corey
65 Harding Street
Newton, MA 02165

Bruce J. Compton
30 Cottage Street
Lexington, MA 02173

Philippe Djian
170 rue de la Convention
75015, Paris, FRANCE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Edward K. Hibben

TITLE OF PERSON IF OTHER THAN OWNER: Vice President of Marketing and Business Development

ADDRESS OF PERSON SIGNING:

One Kendall Square
Building 600, PMB 299
Cambridge, MA 02139

SIGNATURE

Edward K. Hibben

DATE

9/13/00



Attorney Docket No. H0535/7012(ERG/MAT)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LINKAGE OF AGENTS USING MICROPARTICLES

the specification of which is attached hereto unless the following is checked:

☒ [X] was filed on July 21, 2000, as United States Application No. 09/620,783, bearing attorney Docket No. H0535/7012

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a),(b):

Priority
Claimed

☐ YES ☐ NO

(Number) (Country-if PCT, so indicate) (DD/MM/YY Filed)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

60/071,908
(Application Number)

(Application Number)

01/20/98
(filing date)

(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>09/359,920</u>	<u>July 22, 1999</u>	<u>pending</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)
<u>09/234,358</u>	<u>January 20, 1999</u>	<u>pending</u>
(Application No.)	(filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

<u>(PCT Appl. No.)</u>	<u>(U.S. Ser. No.)</u>	<u>(PCT filing date)</u>	<u>(status-patented, pending, abandoned)</u>
------------------------	------------------------	--------------------------	--

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Robert M. Abrahamsen	40,886	Jason M. Honeyman	31,624	Stanley Sacks	19,900
John N. Anastasi	37,765	Robert E. Hunt	39,231	Christopher S. Schultz	37,929
Gary S. Engelson	35,128	Ronald J. Kransdorf	20,004	Alan B. Sherr	42,147
Neil P. Ferraro	39,188	Peter C. Lando	34,654	Robert A. Skrivanck, Jr.	41,316
Thomas G. Field	45,596	Helen C. Lockhart	39,248	Paul D. Sorkin	39,039
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Edward R. Gates	31,616	William R. McClellan	29,409	Mark Steinberg	40,829
Richard F. Giunta	36,149	Daniel P. McLoughlin	46,066	Joseph Teja, Jr.	45,157
Peter J. Gordon	35,164	James H. Morris	34,681	John R. Van Amsterdam	40,212
William G. Gosz	27,787	M. Lawrence Oliverio	30,915	Michael G. Verga	39,410
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James M. Hanifin, Jr.	39,213	Michael J. Pomianek	46,190	David Wolf	17,528
Therese A. Hendricks	30,389	Elizabeth R. Plumer	36,637	Douglas R. Wolf	36,971
Steven J. Henry	27,900	Randy J. Pritzker	35,986	Edward J. Russavage	43,069
		Robert E. Rigby, Jr.	36,904		

Address all telephone calls to Edward R. Gates at telephone no. (617) 720-3500. Address all correspondence to:

Edward R. Gates
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

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Howard Green
Inventor's signature
Full name of sole or first inventor Howard Green
Citizenship US
Residence (City and State, or City and Country for non-U.S. residence)
Brookline, MA
Post Office Address 82 Williston Street, Brookline, MA 02146

Nov 2, 00
Date

Bruce J. Compton
Inventor's signature
Full name of second or joint inventor Bruce J. Compton
Citizenship US
Residence (City and State, or City and Country for non-U.S. residence)
Lexington, MA
Post Office Address 30 Cottage Street, Lexington MA 02173

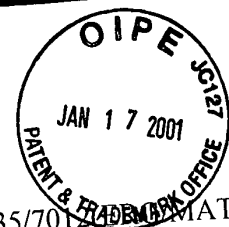
Date

George D. Corey
Inventor's signature
Full name of third or joint inventor George D. Corey
Citizenship US
Residence (City and State, or City and Country for non-U.S. residence)
Newton, MA
Post Office Address 65 Harding Street, Newton MA 02165

Date

Philippe Djian
Inventor's signature
Full name of second or joint inventor Philippe Djian
Citizenship France
Residence (City and State, or City and Country for non-U.S. residence)
Paris, France
Post Office Address 170 rue de la Convention, 75015 Paris, France

Date



Attorney Docket No. H0535/7012 (MAT)

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Priority
Claimed

☐ ☐
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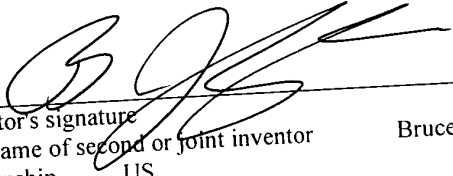
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Inventor's signature
Full name of sole or first inventor Howard Green
Citizenship US
Residence (City and State, or City and Country for non-U.S. residence)
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Post Office Address 82 Williston Street, Brookline, MA 02146

Date



Inventor's signature
Full name of second or joint inventor Bruce J. Compton
Citizenship US
Residence (City and State, or City and Country for non-U.S. residence)
Lexington, MA
Post Office Address 30 Cottage Street, Lexington MA 02173

Sept 14, 2000

Date

Inventor's signature
Full name of third or joint inventor George D. Corey
Citizenship US
Residence (City and State, or City and Country for non-U.S. residence)
Newton, MA
Post Office Address 65 Harding Street, Newton MA 02165

Date

Inventor's signature
Full name of second or joint inventor Philippe Djian
Citizenship France
Residence (City and State, or City and Country for non-U.S. residence)
Paris, France
Post Office Address 170 rue de la Convention, 75015 Paris, France

Date



Signed

Attorney Docket No. H0535/7012(ERG/MAT)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LINKAGE OF AGENTS USING MICROPARTICLES

the specification of which is attached hereto unless the following is checked:

☒ was filed on July 21, 2000, as United States Application No. 09/620,783, bearing attorney Docket No. H0535/7012

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a),(b):

Priority
Claimed

_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	YES	NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>60/071,908</u>	<u>01/20/98</u>
(Application Number)	(filing date)
_____	_____
(Application Number)	(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/359,920	July 22, 1999	pending
(Application No.)	(filing date)	(status-patented, pending, abandoned)
09/234,358	January 20, 1999	pending
(Application No.)	(filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented, pending, abandoned)
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I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Robert M. Abrahamsen	40,886	Jason M. Honeyman	31,624	Stanley Sacks	19,900
John N. Anastasi	37,765	Robert E. Hunt	39,231	Christopher S. Schultz	37,929
Gary S. Engelson	35,128	Ronald J. Kransdorf	20,004	Alan B. Sherr	42,147
Neil P. Ferraro	39,188	Peter C. Lando	34,654	Robert A. Skrivaneck, Jr.	41,316
Thomas G. Field	45,596	Helen C. Lockhart	39,248	Paul D. Sorkin	39,039
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		Robert E. Rigby, Jr.	36,904		

Address all telephone calls to Edward R. Gates at telephone no. (617) 720-3500. Address all correspondence to:

Edward R. Gates
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these


statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature
Full name of sole or first inventor Howard Green
Citizenship US
Residence (City and State, or City and Country for non-U.S. residence)
Brookline, MA
Post Office Address 82 Williston Street, Brookline, MA 02146

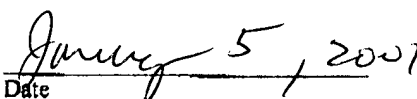
Date

Inventor's signature
Full name of second or joint inventor Bruce J. Compton
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Lexington, MA
Post Office Address 30 Cottage Street, Lexington, MA 02173

Date



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Date

Inventor's signature
Full name of second or joint inventor Philippe Djian
Citizenship France
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Paris, France
Post Office Address 170 rue de la Convention, 75015 Paris, France

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Post Office Address 30 Cottage Street, Lexington MA 02173

Date

Inventor's signature
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Date

Philippe Djian
Sept 16, 2000